



FINSIA



CHARTERED BANKER BY EXPERIENCE

PROGRAM ENTRY
REQUIREMENTS



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To ensure your eligibility for the Chartered Banker by Experience program, please check you meet the requirements listed below. When you apply for the program, you will be required to complete a declaration that you meet the requirements and can provide supporting evidence if requested.

EXPERIENCE REQUIREMENTS

Candidates seeking entry to the Chartered Banker by Experience program should have a minimum of ten years business experience. This should include at least 5 years relevant banking experience.

Furthermore, your experience should include:

- Substantial experience in at least one key area of banking. For example, retail, commercial/corporate, wholesale, wealth, financial planning, payments, operations, credit, risk, banking operations or technology.
- Experience in a range of topics contained in the self-evaluation outcomes, with particular reference to experience or exposure to credit and risk practices. Please refer to the self-evaluation checklist to ensure your experience includes all of the requirements.

Candidates should submit a detailed CV providing detailed evidence of their experience meeting all the self-evaluation checklist requirements.

DECLARATION

All candidates must complete a declaration confirming their understanding and commitment to the FINSIA Code of Conduct. This declaration also confirms there are no barriers, either personally or professionally, which may prevent them becoming a member of FINSIA. These barriers may include:

- Bankruptcy or other financial barriers
- Criminal convictions
- Disqualification from being a Director
- Dismissal for gross misconduct
- Discipline or investigation by a regulator, professional body etc.
- Refusal of entry to membership of another professional body
- Any personal or professional behaviour that could bring FINSIA into disrepute

PRE-SCREENING

When you apply for the Chartered Banker by Experience program you agree for FINSIA to undertake eligibility screening. This allows us to assess whether you meet the requirements for entry to the program. Pre-entry screening will begin from the date we officially acknowledge your application.



SEL-EVALUTION CHECKLIST

The Chartered Banker by Experience program allows candidates to demonstrate what they have learned through their experience. The program is not about the candidate learning new technical knowledge but demonstrating what they have learned through past experience. However, candidates participating in the program will benefit from developing reflective and evaluative skills in relation to their experiences.

To be successful in this program candidates will require to have substantial relevant business experience and the recommended minimum period is at least 10 years. It is expected that candidates will have very substantial experience in at least one key area of banking with relevant experience at a manager or senior manager level for at least 5 years.

This checklist is designed to allow candidates to review their experience and make an honest assessment of their own experiences before embarking on the program. It is expected that candidates should be able to answer **YES** to all of these questions before considering embarking on the program. It is important that candidates can provide examples of their experiences for assessment purposes and that they can clearly demonstrate their personal contribution and commitment to those experiences.

Banking & Risk Management	Y/N
<ul style="list-style-type: none"> • Private Banking, Wealth Management & Financial Planning • Payments • Credit • Risk • International • Banking Operations • Technology <p>Are you able to articulate how risk can be successfully identified, managed and mitigated in at least one of these key areas listed above?</p>	
In your experience have you responded to and, where appropriate, lead strategic and operational change?	
Can you demonstrate where you have translated and applied strategic vision into operational plans and business actions?	
Have you communicated objectives, goals and key performance measures?	
Do you have experience in applying appropriate risk and financial controls?	
Can you demonstrate a prudent and professional approach to risk, particularly credit and operational risk?	
Have you developed and sustained an appropriate risk culture within an organisation/team?	
Have you applied and enhanced effective risk and performance management tools, systems and frameworks?	
Have you implemented changes to risk processes and procedures where necessary?	
Can you demonstrate a personal commitment to customer-focused, ethical professionalism?	
Can you demonstrate a personal commitment to the social purpose of banking?	



The Development & Future of Banking	Y/N
Have you maintained professional competence through continuing to learn about, adapting to, and applying new technology?	
Can you demonstrate a personal commitment to leading a customer-focused, ethically professional culture?	
Have you considered benefits and risks of new technology?	
Can you demonstrate how technology can be successfully applied to benefit customers and banks?	
Can you apply different operational and/or service delivery models?	
Can you demonstrate awareness of a wide range of strategic drivers shaping the evolution of banks?	
Do you understand changing customer/client/societal demands?	
Can you articulate how banking has changed throughout your career, particularly by impacts from developments in innovation and technology?	
Can you articulate the evolution and implementation of bank strategies, considering key strategic drivers shaping change in banking (not just the impact of technology)?	

Leadership	Y/N
Can you demonstrate your personal commitment to customer-focused, ethical professionalism?	
Can you demonstrate how you are a role-model for ethical professionalism for colleagues and customers?	
Have you demonstrated self-awareness and applied situational leadership when required?	
Have you lead with customer focused, ethical professionalism?	
Can you demonstrate ethical thinking, reasoning and decision-making?	
Have you developed organisational/team values and culture?	
Have you lead, managed and coached individuals and teams for high performance?	
Have you championed the benefits of diversity and inclusiveness?	
Have you demonstrated flexibility in times of adversity?	